

DEP/REF#307



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Refund Section- Accounting Division  
Office of Finance

In Re Application of: GALLI et al

Serial No.: 09/647939

Filed: October 18, 2000

#5

SECOND REQUEST FOR REFUND

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In the above identified case, deposit account 06-1358 has been improperly debited.

By this paper, a credit to deposit account 06-1358 is requested. An explanation of the debiting error is set out below, and copies of all documents in support of this request are attached.

Explanation of Error:

- Large Entity fee charged to Small Entity
- Fee should not have been charged to PTO account because it was paid by check
- PTO miscalculation
- Unknown and unidentifiable PTO charge

Type of Charge and Amount of Refund Requested:

<input checked="" type="checkbox"/> Filing fee	\$ 990.00
<input checked="" type="checkbox"/> Multiple dependent claims	\$ 270.00
TOTAL REFUND REQUESTED	

Called Secretary  
for John Holman  
29 Aug 2001  
Explained why  
Refund was not  
granted

A Johnson  
DO-EO



Comments

On November 17, 2000, a Request for Refund and Withdrawal of Application was filed stating that the above-captioned application was a duplicate filing of Serial No. 09/673236. To date, no refund has been received.

On May 31, 2001, a further \$270 multiple dependent claim fee was charged to the deposit account. A copy of the Preliminary Amendment which was filed simultaneously with the application canceling the multiple dependency in this application is enclosed. Therefore, the \$270 charge is in error and coupled with the outstanding \$990 credit for withdrawing this duplicate application, a refund of \$1260.00 is requested and should be credited to undersigned counsel's deposit account

Attached Documents:

Copy Preliminary Amendment and date stamped postcard receipt filed October 18, 2000; and copy Request for Refund and Withdrawal of Application and date stamped postcard receipt filed November 17, 2000

Deposit Account Statement dated 5/31/01

Respectfully submitted

JACOBSON HOLMAN PLLC

By *J. Holman*

John C. Holman  
Registration No. 22,769  
400 Seventh Street, N.W.  
Washington, D.C. 20004  
(202) 638-6666

I.D.: JCH/dls  
Folio: P66004US0  
Date: July 16, 2001



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

JACOBSON PRICE HOLMAN & STERN  
BRUCE LETCHWORTH  
400 SEVENTH STREET, N. W.

WASHINGTON DC 20004

FINA

Account No.	061358
Date	5-31-01
Page	3

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.	CONTROL NO.				
5	24	01	8	408156	465	60.00	9927.24
5	24	01	9	408156	464	30.00	9897.24
5	24	01	20	PCT/US00/20179	190	490.00	9407.24
5	24	01	21	PCT/US00/20179	803	137.00	9270.24
5	24	01	22	PCT/US00/20179	155	313.50	8956.74
5	24	01	53	416934	567	60.00	8896.74
5	25	01	25	6168891	145	100.00	8796.74
5	25	01	41	09744242	126	180.00	8616.74
5	25	01	68	1853169	381	100.00	8516.74
5	25	01	133	417864	567	30.00	8486.74
5	29	01	2	09/122898	704	-1240.00	9726.74
5	29	01	175	419165	567	60.00	9666.74
5	29	01	205	419205	567	60.00	9606.74
5	30	01	59	419452	566	15.00	9591.74
5	31	01	5	09647939	968	270.00	9321.74
5	31	01	14	09377775	242	605.00	8716.74

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
IN THIS STATEMENT

OPENING BALANCE 10216.24	TOTAL CHARGES 11960.50	TOTAL CREDITS 10461.00	CLOSING BALANCE 8716.74
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**ATTENTION ATTENTION ATTENTION**

Date Processed: 7-24-01

SO CO - PCT



**ACH Payment**

Attached Is The Most Current ACH Information

*duplicate*



**Treasury Check**

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund;

**A Check Will Be Issued.**



09/647939  
tcc

**Credit To Deposit Account**

This Request for Refund Will Be Processed By Crediting Deposit

Account Number 06-1358, If Customer Is Due A Refund.

**ATTENTION ATTENTION ATTENTION**

MSB

THE HAMPTON HOTEL, 2000 11th STREET, WASHINGTON, D.C. 20004

Atty Docket: P60004US0

Today's Date: October 18, 2000

Serial No.: New U.S. Nat'l. Phase Application

Applicant: Cesare GALLI et al.

Filing Date: October 18, 2000

90 Rec'd PCT/PTO 18 OCT 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Preliminary Amendment to Lesser Fees
- International Search Report (EPO) (210)
- Request (101)
- First Page of Publ.
- Demand (401)
- IPER (409)
- 15 pg. Specif. with 12 claims and Abstract

Check for \$ 990.00 Check No. 49987 DUE DATE: October 20, 2000

JACOBSON, PRICE, HOLMAN & STERN, PLLC  
400 SEVENTH STREET, NW  
WASHINGTON, DC 20004

cri





PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re application of: GALLI et al

Serial No.: 09/647939

Filed: October 18, 2000

For: SOURCE OF NUCLEI FOR NUCLEAR TRANSFER

REQUEST FOR REFUND AND WITHDRAWAL OF APPLICATION

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Through inadvertent error and mistake the above-captioned application, Serial No. 09/647939 is identical to Application 09/673236. Resulting from lack of identification from the foreign associate, undersigned counsel filed the same application twice and wishes to continue solely on Serial No. 09/673236, our folio P64474US0. Insofar as Serial No. 09/647939 is concerned, this application is herewith expressly withdrawn as being a duplicate of U.S. Serial No. 09/673236.

In light of this inadvertent error and the withdrawal of this application so as not to cause the Patent and Trademark Office to duplicate their effort on two applications for the same invention, it is requested that the payment of \$990 made October 18, 2000 for the filing of Serial No. 09/647939 be refunded to undersigned counsel's deposit account 06-1358.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By \_\_\_\_\_

John C. Holman  
Registration No. 22,769  
400 Seventh Street, N.W.  
Washington, D.C. 20004

I.D.: JCH/dls (202) 638-6666

Folio: P66004US0

Date: November 17, 2000



JPH&S Ref P66004USC

Serial/Patent No. 09/647939

Today's Date 11/17/00

Applicant, Pattee, Assignee GALLI et al

Filing Date/Patent Date October 18, 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

pp. Specification &  Claims  Response to Office Action  
 Combined Declaration, Power of Attorney  Disclosure Statement-IDS  
 Preliminary Amendment  Copies of References  
 File 53 (b) Application  Request for Refund  
 Rule 53 (d) /RCE Application  Request for Corrected Filing Receipt  
 Claim to Priority and Certified Copy  Petition for XOT  
 Substitute  Subsequent Declaration  Notice of Appeal/Brief  
 Maintenance Fee  Sequence Listing  Issue Fee Transmittal  
 Drawings        Sheets        Formal        Informal  Other Request for Refund  
 Assignment/Change of Name  
 Small Entity Declaration  
 Check for \$        *Application*

*and Withdrawal of*

JPH&S 6/00 DUE DATE N/A  
Person filing DLS



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cesare GALLI et al.

Serial No.: New

Filing Date: October 18, 2000

For: SOURCE OF NUCLEI FOR NUCLEAR TRANSFER

PRELIMINARY AMENDMENT TO LESSEN FEES

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to initial examination, please amend the above-identified application as follows:

IN THE CLAIMS

Claim 3, line 1, delete "or 2";

Claim 4, line 1, delete "any preceding claim",  
insert --claim 1--;

Claim 5, line 1, delete "any preceding claim",  
insert --claim 1--;

Claim 6, line 3, delete "any of claims 1 to 5",  
insert --claim 1--;

Claim 7, line 3, delete "any of claims 1 to 5",  
insert --claim 1--;

Claim 10, lines 2-3, delete "any of claims 1 to 8",  
insert --claim 1--;

Claim 11, lines 2-3, delete "any of claims 1 to 8",  
insert --claim 1--;

Claim 12, line 1, delete "or 11".

REMARKS

The foregoing Preliminary Amendment is requested in order to delete the multiple dependent claims and avoid paying the multiple dependent claims fee.

Early action on the merits is respectfully requested.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By \_\_\_\_\_

John C. Holman  
Reg. No. 22,769

400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
(202) 638-6666

Atty. Docket: P66004US0  
Date: October 18, 2000  
JCH:crj